

Case Docket No. EPLUG.002A

Date: July 1, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Walbeck et al.

Appl. No.

09/211,950

Filed

December 15, 1998

For

METHOD AND APPARATUS

FOR POWER LINE

EXCHANGE PROTOCOL

Examiner

Hieu C. Le

Group Art Unit:

2142

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

July 1, 2004

(Date)

W. Henderson Ph.D., Reg. No. 41,830

JUL 0 8 2004

Technology Center 2100

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- A PTO Form 1449 with three (3) references. (X)
- The Commissioner is hereby authorized to charge any additional fees which may be required, or (X) credit any overpayment, to Account No. 11-1410.
- Return prepaid postcard. (X)

Lee W. Henderson Ph.D. Registration No. 41,830 Attorney of Record

Customer No. 20,995

(949) 760-0404

Qocket No.: EPLUG.002A

INFORMATION DISCLOSURE STATEMENT

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Technology Center 2100

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 3 references that are also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: <u>fully 1, 2004</u>

Lee W. Henderson Ph.D.

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FORM PTO 149

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. EPLUG.002A

APPLICATION NO. 09/211,950

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT Walbeck et al.

FILING DATE December 15, 1998 GROUP 2142

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,682, 326	07/21/87	Ulug			
	2	5,276,681	01/04/94	Tobagi et al.			
	3				R	FCF	IVED
	4						_
	5					JOF 0	8 2004
	6				Tech	nology Center 2100	
	7					3,	
	8						
	9						
	10						

FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL							YES	NO
" ',',	11	EP 0 381, 386	08/08/90	GB				
	12							
	13							
•	14							
	15							
	16							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)			
	17 European Search Report			
	18			
	19			
	20			
	21			

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DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.